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# **XML Access Control Models**

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#### Abstract

Extensible Markup Language (XML) is defined by World Wide Web Consortium (W3C) as astandard for data representation and transmission on the Web. The disseminated XML documents on the Web may contain a secret data that must beprotected from ineligible access, so XML accesscontrol is an important issue in Web informationsecurity.XML access control refers to the practice of limiting access to (parts of) XML data to only authorized users. Here we tried to study, compare and analyze different approaches towards Access control and its policies.

Keywords: Data, Access control, policy, PBAC, XML, DAC, MAC, RBAC, ERBAC, RBACo

#### I. Introduction

XML (Extensible Markup Language) is widely used in many applications as it has the ability to store, exchange, transfer and retrieve data. Much of the research on XML focuses on storage strategies and query performance. Although data storage and retrieval techniques are important, so is security and in comparison, this is a neglected area. XML databases are multi-user systems, meaning they can be accessed by millions of users and can provide a huge amount of data. Much of this data is sensitive and personal. Confidential data need to be protected and saved in a secure environment. Security research for XML databases is crucial in protecting data from unauthorized processes and misuse.

Different models for XML database access control have been proposed and developed. Access control systems for XML databases can be categorized into three core approaches: discretionary access control (DAC), mandatory access control (MAC) and role-based access control (RBAC) [1] [2] [3]. Most traditional access control models protect data from malicious activities of outside users but cannot protect the data from insiders [4]. Research has suggested that damage caused by insiders is more harmful than that of outsiders [5].

Here we evaluated Trust based Access control which depends on a trust management system, which automatically calculates and updates the trust values of users. Policy Based Access control or PBAC defines attribute to describe property of session and issues a method of policy management independent from application logic, then realizes a new independent mode of session decision. a distributed push-basedXML access control model that effectively works with the increased scale by distributing the system and management workloads to different components (or servers) and several administrators, respectively. XML based access control for pervasive computing follow a RBAC model where access policies are defined for each individual role using XPath expressions. Action and Attributes based Access control model avoids the complex structure of multi-attribute and solves the problem that relevant dynamic authorization and permission changes.



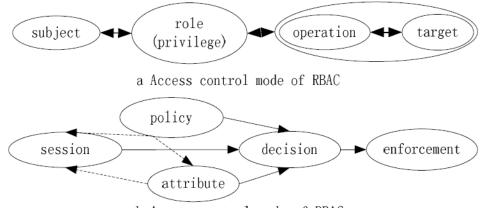
#### II. POLICY BASED ACCESS CONTROL

As we know Role-based Access control, RBAC [11] is the most popular. Though they have made great progress on accesscontrol, there are still some shortages. Firstly, the restraint of session is not comprehensive. Secondly, current models are not very flexible.

Different from RBACcontrolling session with configuring role privilege (as Fig. 2a), PBAC defines attribute to describe property of session, and issues a method of policy management independent from application logic, then realizes a new independent mode of session decision (as Fig. 2b). Therefore, PBAC is moreflexible and multi-policy supporting.

PBAC has some elements as follows: SUBJECT: set of model's subjects. TARGET: set of model's objects.

ACTION: set of model's actions.



b Access control mode of PBAC

#### Fig. 2 Control mode comparison of PBAC and RBAC

SESSION: set of model's sessions, which means asubject takes an action on a target. Session has threeelements as subject, target and action,

SESSION ⊆ SUBJECT× TARGET×ACTION.

ATTRIBUTE: set of model's attributes, which describesproperty of model's session.

ENTITY: set of entities. The entity refers to subject, target or action.

POLICY: set of policies. Policy is the core of PBAC.

It is used to restrict session request. Different from the exiting models, PBAC represents policy with a direct description method instead of configuring role of subject indirectly.

The relationship of entity and attribute is denoted as:

SA: attribute assigned to subject,

 $SA \subseteq SUBJECT \times ATTRIBUTE$ 

TA: attribute assigned to target,

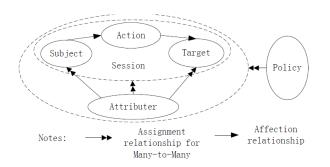
TA ⊆ TARGET×ATTRIBUTE

SEA: attribute assigned to session circumstance,

**SEA⊆SESSION×ATTRIBUTE** 

The relationship among elements of PBAC is shown inFig. 3, while Fig. 4 shows the framework.

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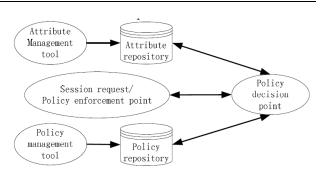
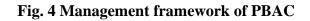


Fig. 3 Relationship among PBAC's element



#### **III. TRUST BASED ACCESS CONTROL**

Trust-based access control has become an establishedtechnique in many areas, such as networks and virtualorganizations. It depends on a trust management system, which automatically calculates and updates the trust values of users. Trust values rely on users behaviors, users histories, userscredit and users Operations.Userscan access resourcesthrough trust values and levels [6] [7] [8] [9] [10].

The architecture of our trust-based access control for XMLdatabases is shown in Fig. 5. It is based on direct trust andignores indirect trust. Direct trust focuses on users operations and errors. Indirect trust depends on recommendations.

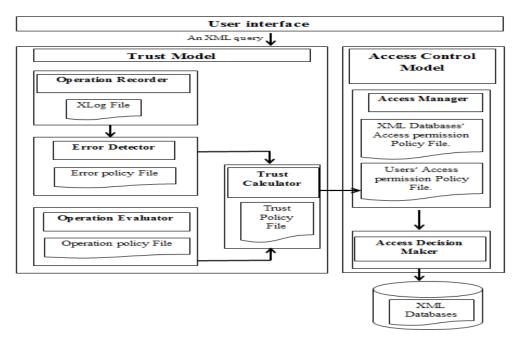


Fig. 5The trust-based access control system for XML databases

The model consists of three main parts: the user interface, the trust model and the access control model. The userinterface sends the access request to XML databases as anXML query. The trust model evaluates users' activities and calculates the trust value for them. The evaluation process depends on the recording of some operations in the Xlog file. These specific operations are defined in the operation policyfile. The error detector then finds errors in the Xlog file and assigns a weight to them according to the error policy file.Likewise, the operation evaluator assigns the appropriateweight to misused operations defined in the operation policyfile and recorded in the Xlog file.



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The trust calculator determines the trust value, as in

TV = ETV \* ETVW + AV \* AVW + GOV \* GOVW. (1)

In (1), TV = Trust Value, ETV = Existing Trust Value,ETVW = Existing Trust Value Weight, AV = Accuracy Value= 1 - Error Weight (EW), AVW = Accuracy Value Weight,GOV = Good Operations Value= 1 - Bad Operations Value(BOV) and GOVW = Good Operations Value Weight.

All ETVW, AVW and GOVW percentagecan changeaccording to the organization's policies. For example, AVWcan be 10% if the organization does not consider the errorfactor as an important element or AVW can be 50% if theorganization considers the error rate to be highly significant. The trust value is updated automatically in the users' accesspermission policy file. The XML database's access permissionpolicy file describes the trust values required to access XMLnodes. The access manager submodel matches two files tomanage a user's right to access requested data. The accessdecision maker allows or denies users access to XMLdatabases according to the results.

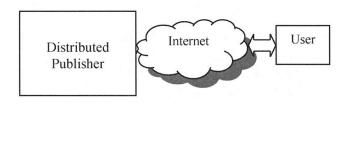
#### IV. ACCESS CONTROL BASED ON DITRIBUTED PUSH

Extensible Markup Language (XML) is defined by World Wide Web Consortium (W3C) as astandard for data representation and transmission on the Web. The disseminated XML documents on the Web may contain a secret data that must beprotected from ineligible access so XML accesscontrol is an important issue in Web informationsecurity [12]. The existing XML access control approaches areclassified based on their policy enforcement into two categories [13, 14]: pull-based and push-based (orpublish-subscribe) approaches. In the pull-based approaches, a server receives a request from a client and responds with an appropriate view. While, in the push-based approaches, the server periodically encrypts the document portions with different keysand then publishes the encrypted document to allusers. Moreover, in pushbased XML access control, the policies related to each user must be selected and distributed for enabling the user to only decrypt related encrypted portions. But, the policies distribution is a complex task because all thepolicies, related to all users, are specified in thesame XML-based file(s) and stored in a securecentralized server.So, distributing the updated/newpolicies to users requires generating a view for eachuser, and then protecting this view for ensuring its integrity and authenticity. Since XML document is becoming a standard fordata exchanging on the Web, therefore proposing ascalable XML access control is a crucial needed foraddressing the network effects [15], which is meanshere meeting the increased number of the specified policies, subscribed users, and published documents attracted by increasing the number of theprovided services.

#### Methodology

Apolicy specification language is for decentralizing the management workload to several trusted administrators. Then, the model architecture is distributed into several servers by exploiting the distributed Client/server architecture as shown in Fig. 6 and Fig. 7.

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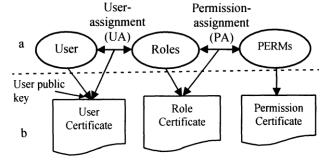


Fig. 7Specifying the RBACO components

In addition, a symmetric (secret) cryptography issued for encrypting the publisheddocument portions. Also, an asymmetric (public key)cryptography is used for digitally signing the distributed policies and published document along with securely distributing the secret encryption keys to the right users.

#### The Decision of PBAC

In order to ensure the consistent and effectiveness ofpolicy, PBAC sets several decision rules of access control.

	Speciality of Implementation			Analysis of Performance		
	Relationship of Property and Privilege	Description Method Of Policy	Realization Method of Decision	Flexib -ility	Compreh -ensive Control	Multi- policy Supporting
DAC	No Property Description	Access Control Matrix	Integrated with Application Logic	poor	poor	no
MAC	equal	Security Level	Integrated with Application Logic	limited	poor	no
RBAC	equal	Restriction of Subject	Integrated with Application Logic	good	good	limited
ERBAG	C equal	Restriction All Elements of Session		good	better	limited
PBAC	No Relation	Independent Policy Language	Independent from Application Logic	better	better	better

Fig.8Comparison of Access Control Model

Rule 1: If none of policies regulates the request related to the session, which means the system does not restrict thesession, the session request will be denied.

Rule 2: If there is not less than one policy that prohibits the session request, the request will be denied.

Rule 3: When all policies about the session allow it to becarried out, it will be performed.

In view of above, PBAC improves session controlmechanism and its implementation mode. The comparison of implementation specialty and performance about DAC,MAC, RBAC, ERBAC and PBAC is shown in Fig. 8.

#### V. ACCESS CONTROL BASED ON ATTRIBUTES

With the development of the internet in web services, Webservices developing are restricted by communication security and access control. As we know, traditional Access Control cannot solve those problems. So, it is increasingly important tostudy Access Control Model in web services.on subject (e.g. post, role), resource (e.g. owner, servicesquality) and environment (e.g. currently time, safely level) attributes.



#### Action and Attribute-based Access Control

With the support of SAML, XACML, and AOP, this papermakes the action a limited condition to access service methodsby combining attributes in user resource and environment andassigns permission through using relation of authorities. It gets result whether it can access object successfully. The formaldefinition of model is presented by introducing scalable factors for ABAC, and then the model is constructed through those definitions and the structure diagram of current access controlmodel.

The ABAC Architecture of Web services is establishedbased on SAML and XACML language model. At the sametime, XACML has a good scalability makes it supportparameterized Policy Description. This model introduces themethod which is one of the elements in action as referencemakes the AOP intercept the invoking method effectively.

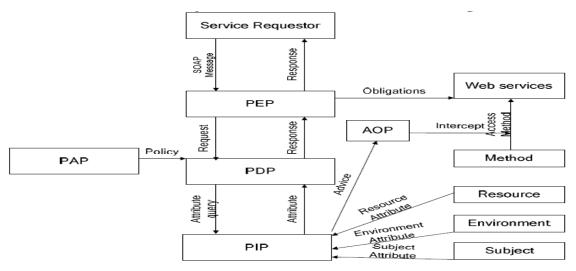


Fig 9. AABAC system Diagram

The process of the model shown in Fig. 9 is described as follows:[16], [17]

1) PEP extracts the attribute information of subject from theSAML statement of message head by processing thereceived SOAP message which is requested by therequester.

2) PEP sends the generated request of access authorization to the PDP (Policy Decision Point).

3) PAP (Policy Access Point) takes responsible for writingstrategy and the set of strategy. PDP indexes the storagesthe strategy according to restriction conditions.

4) PDP asks PIP (Policy Information Point) for invokingservice and indexing the related attribute value of subject, resources, environment, and method.

5) Web Services calls the method which is associated with the request, AOP intercepts the method, and crosscuttingConcerns, and verifies the provided attribute value.

6) PDP sends the decision request to the PEP, and then PEPexecutes the assignment. Finally, PDP decides whether the requester is allowed to access according to the request.

#### VI. CONCLUSION

Trust Based Access Control, combines detecting insider threats and improving access control. This framework calculates trust values depending on usererrors and operations. The access decision depends onmatching the node trust value and the user trust value.



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A policy-based access control model(PBAC)comprehensively describes session property withattribute, realizes an independent policy managementmethod, and issues a new session decision mechanism.Comparative analysis indicates that PBAC is more flexibility and has the ability of multipolicy supporting.

Distribute push is a scalable push-basedXML access control model. The scaling strategyused is distributing the increasedsystem and management workloads to differentservers and several administrators, respectively. Also, a trust-based policy language is proposed forspecifying access control policies in decentralizedtrust management.

Action and Attribute based Access Control Model analyzeand builds language modelby combining ABAC with SAML and XACML. Compared with other Access Control Model, this new Model has someadvantages, like a proper order relation, improved speed to access web services and solving problem of dynamic authorization.

An access control model defines the typeof behavior to solve the problem to the access model to increase operator efficiency may arise. At the same time, also makes it easier for users to manage authorization information, and by removing unnecessary parsing and DOM retrieval to provide quick access.

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